

PTO-1390 (Modified)  
(REV. 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

SIM0065

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

**09/367670**INTERNATIONAL APPLICATION NO.  
**PCT/CA98/01146**INTERNATIONAL FILING DATE  
**18 December 1998**PRIORITY DATE CLAIMED  
**18 December 1997**

## TITLE OF INVENTION

**INTELLIGENT COMMUNICATION SERVER AND COMMUNICATION SYSTEM INCORPORATING SAME**

## APPLICANT(S) FOR DO/EO/US

**COVELEY, Michael and MILUTINOVIC, Srdjan**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 13 to 18 below concern document(s) or information included:**

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.  
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

**Notice Informing The Applicant Of The Communication Of the International Application To The Designated Offices  
(PCT/IB/308) and Check # 042755**

U.S. APPLICATION NO. (IF KNOWN, SEE 3)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
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20. The following fees are submitted:.				CALCULATIONS PTO USE ONLY	
<b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :</b>					
<input type="checkbox"/> Search Report has been prepared by the EPO or JPO ..... \$840.00					
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$670.00					
<input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$760.00					
<input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$970.00					
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$970.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	19 - 20 =	0	x \$18.00	\$0.00	
Independent claims	3 - 3 =	0	x \$78.00	\$0.00	
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$970.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).				<input type="checkbox"/>	\$0.00
<b>SUBTOTAL =</b>				\$970.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00	
<b>TOTAL NATIONAL FEE =</b>				\$970.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).				<input checked="" type="checkbox"/>	\$80.00
<b>TOTAL FEES ENCLOSED =</b>				\$1,050.00	
				Amount to be: refunded	\$
				charged	\$

☒ A check in the amount of **\$1,050.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

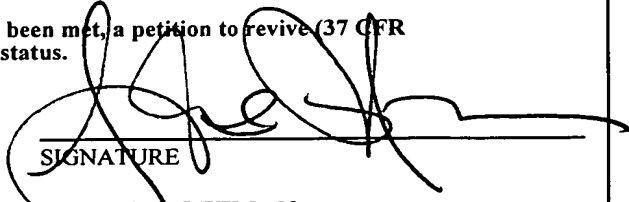
☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **02-0385** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE  
JOHN F. HOFFMAN  
NAME  
26,280  
REGISTRATION NUMBER  
August 13, 1999  
DATE

"EXPRESS MAIL" COVER LETTER  
U.S. PATENT APPLICATIONS

Date: August 18, 1999

Assistant Commissioner for Patents  
Washington, DC 20231

Re: Application for United States Letters Patent

APPLICANT: Michael Coveley et al.

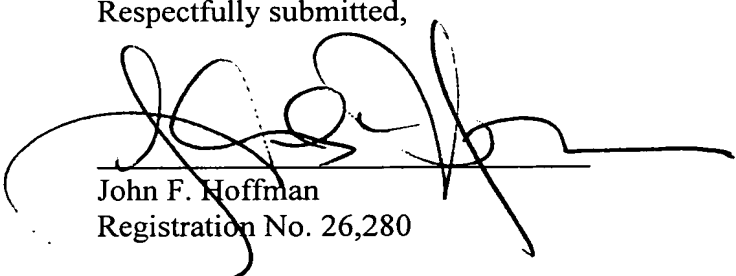
TITLE OF INVENTION: INTELLIGENT COMMUNICATION SERVER  
AND COMMUNICATION SYSTEM INCORPORATING SAME

Sir:

Forwarded herewith is the above-identified application, consisting of the following:

Specification (19 Pages)  
Abstract  
Claims (4 Pages)  
Drawings (15 Sheets)  
Declaration      Executed X Unexecuted  
Assignment X Yes      No (2)  
Verified Statement      Yes X No  
Information Disclosure Statement X Yes      No  
Preliminary Amendment X Yes      No  
Also: PCT/IB/308 - Notice

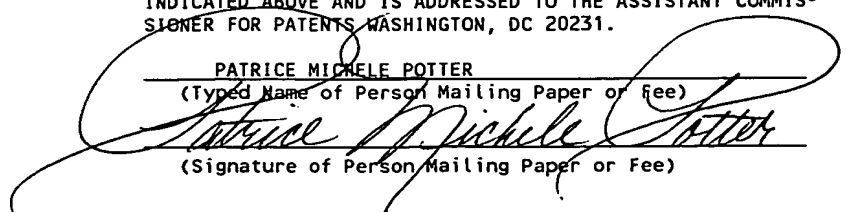
Respectfully submitted,

  
John F. Hoffman  
Registration No. 26,280

Attorney for Applicant

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"EXPRESS MAIL" MAILING LABEL NUMBER EL075546069US  
DATE OF DEPOSIT August 18, 1999  
I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST  
OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE  
INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISS-  
SIONER FOR PATENTS WASHINGTON, DC 20231.

PATRICE MICHELE POTTER  
(Typed Name of Person Mailing Paper or Fee)  
  
(Signature of Person Mailing Paper or Fee)